PRICING GUIDELINE

THE TAG & TRANSAMERICA 401(K)
RETIREMENT PLAN EXCHANGE®

0.65% All-In* (does not include financial advisor compensation)

FOR PLAN ASSET BALANCES FROM $0 THROUGH $3,999,999

Fiduciary Services:

- Third party administrator - TAG Resources
- 3(16) administrative fiduciary - TAG Resources
- 402(a) signatory named fiduciary - TAG Resources
- 3(38) investment manager - Mesirow Financial

Includes:

- Mutual fund fees
- Transamerica fees
- Fiduciary services fees

Annual Participant Fee:

- $25 per participant account (billed quarterly)

PLAN ASSET BALANCE	$125 ANNUAL ERISA BOND FEE	$0 ENROLLMENT FEE **	TRANSAMERICA INSTALLATION FEE (ONE-TIME)***	ANNUAL ADMINISTRATION FEE
$0 - $99,999	●	●	$1,000	$2,500, reduced to $1,000 annually if plan adopts a Safe Harbor provision
$100,000 - $249,999	●	●	None	$2,500, reduced to $1,000 annually if plan adopts a Safe Harbor provision
$250,000 - $499,999	●	●	None	$1,000, reduced to $250 annually if plan adopts a Safe Harbor provision
$500,000 +	●	●	None	No annual administration fee

We offer custom pricing (based on plan demographics) for plan asset balances of $4 million and higher.

* All-In based on State Street Target Retirement Funds (QDIA). All-In includes Transamerica fees, 12b-1 fees, fees received by Transamerica and its affiliates, and the expenses of underlying mutual funds. Actual fees based on individual participant fund allocation. All-In does not include the flexible Financial Advisor compensation. For plans with assets under $250,000, if Financial Advisor compensation is below 12 basis points the All-In will be increased to 0.82%.

** Initial enrollment meeting, year-one, is free as long as 10 employees are in attendance. Otherwise, a fee of $300 will apply. Other fees may apply.

*** Fee is based on Plan Asset Balances that include initial takeover assets and rollover assets that are received within 90 days of the plan's installation. It does not include plan flow.

This is provided for informational purposes only and is not intended to constitute compliance with any applicable legally required disclosures, including, but not limited to, disclosures required under ERISA Section 408(b)2. Other service provider fees may apply.

INVESTMENT STRUCTURE - MESIROW FINANCIAL

Investment knowledge, experience, and interest varies among plan participants. That's why the investment menu offers options for different types of investors. We give participants access to much or as little help steering their financial decisions as they desire.

TIER 1 THE "DO IT FOR ME" INVESTOR

- Rarely reviews portfolio
- No engagement in investment allocation decisions
- Lacking in investment knowledge, interest and/or time to proactively manage investments
- Wants assistance managing investment exposure over time

Target Date Options

STATE STREET TARGET RETIREMENT

Income
2015
2020
2025
2030
2035
2040
2045
2050
2055
2060

TIER 2 THE "HELP ME DO IT" INVESTOR

- Occasionally reviews portfolio
- Exercises control over major allocation decisions
- Limited engagement on implementation, rebalancing, and other short-term issues

Passive Core Options

TARGET RISK

TA Vanguard LifeStrategy Income
TA Vanguard LifeStrategy Conservative Growth
TA Vanguard LifeStrategy Moderate Growth
TA Vanguard LifeStrategy Growth

DIVERSIFIED FIXED INCOME

State Street (SSgA) US Bond Index

LARGE CAP EQUITY

Transamerica Partners Stock Index (S&P 500 Index)

MID CAP EQUITY

State Street (SSgA) S&P Mid Cap Index

US MULTI CAP EQUITY

TA Vanguard Total Stock Market Index

SMALL CAP EQUITY

State Street (SSgA) Russell Small Cap Index

INTERNATIONAL DEVELOPED MARKETS

State Street (SSgA) International Index

TIER 3 THE "LEAVE IT TO ME" INVESTOR

- Frequently reviews portfolio
- Uses full range of investment options and allocation
- Wants to control all key investment allocation decisions

Active Core Options

CASH EQUIVALENTS

Transamerica Stable Value Advantage Account

DIVERSIFIED FIXED INCOME

Western Asset Core Plus Bond

HIGH YIELD FIXED INCOME

Lord Abbett High Yield

TREASURY INFLATION PROTECTED SECURITIES

Blackrock Inflation Protected Bond

LARGE CAP EQUITY

American Funds Fundamental Investors (blend)
American Funds Growth Funds of America (growth)
Pioneer Equity Income (value)

MID CAP EQUITY

JP Morgan Intrepid Mid Cap (blend)
Pioneer Select Mid Cap Growth (growth)
Transamerica Small/Mid Cap Value (value)

SMALL CAP EQUITY

Federated MDT Small Cap Core (blend)
Victory RS Small Cap Growth (growth)
Wells Fargo Special Small Cap Value (value)

WORLD STOCK

MFS International Diversification

INTERNATIONAL EMERGING MARKETS

DFA Emerging Markets Core Equity

REAL ESTATE

DWS RREF Real Estate Securities Fund

Ready to get started?

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VISIT tagresources.com